

#### SENATE BILL 21-021

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CONCERNING THE ENACTMENT OF THE "AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE COMPACT", AND, IN CONNECTION THEREWITH, MAKING AN APPROPRIATION.

Be it enacted by the General Assembly of the State of Colorado:

**SECTION 1.** In Colorado Revised Statutes, **add** part 41 to article 60 of title 24 as follows:

#### PART 41 AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE COMPACT

Capital letters or bold & italic numbers indicate new material added to existing law; dashes through words or numbers indicate deletions from existing law and such material is not part of the act.

**24-60-4101. Short title.** THE SHORT TITLE OF THIS PART 41 IS THE "ASLP-IC".

**24-60-4102.** Compact approved and ratified. The General assembly hereby approves and ratifies, and the governor shall enter into, a compact on behalf of the state of Colorado with any of the United States or other jurisdictions legally joining therein in the form substantially as follows:

## SECTION 1 PURPOSE

THE PURPOSE OF THIS COMPACT IS TO FACILITATE INTERSTATE PRACTICE OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY WITH THE GOAL OF IMPROVING PUBLIC ACCESS TO AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY SERVICES. THE PRACTICES OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY OCCURS IN THE STATE WHERE THE PATIENT/CLIENT/STUDENT IS LOCATED AT THE TIME OF THE PATIENT/CLIENT/STUDENT ENCOUNTER. THE COMPACT PRESERVES THE REGULATORY AUTHORITY OF STATES TO PROTECT PUBLIC HEALTH AND SAFETY THROUGH THE CURRENT SYSTEM OF STATE LICENSURE. THIS COMPACT IS DESIGNED TO ACHIEVE THE FOLLOWING OBJECTIVES:

- 1. INCREASE PUBLIC ACCESS TO AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY SERVICES BY PROVIDING FOR THE MUTUAL RECOGNITION OF OTHER MEMBER STATE LICENSES;
- 2. Enhance the states' ability to protect the public's health and safety;
- 3. ENCOURAGE THE COOPERATION OF MEMBER STATES IN REGULATING MULTISTATE AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY PRACTICE;
- 4. SUPPORT SPOUSES OF RELOCATING ACTIVE DUTY MILITARY PERSONNEL;
- 5. Enhance the exchange of licensure, investigative, and disciplinary information between member states;

- 6. ALLOW A REMOTE STATE TO HOLD A PROVIDER OF SERVICES WITH A COMPACT PRIVILEGE IN THAT STATE ACCOUNTABLE TO THAT STATE'S PRACTICE STANDARDS; AND
- 7. ALLOW FOR USE OF TELEHEALTH TECHNOLOGY TO FACILITATE INCREASED ACCESS TO AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY SERVICES.

## SECTION 2 DEFINITIONS

AS USED IN THIS COMPACT, AND EXCEPT AS OTHERWISE PROVIDED, THE FOLLOWING DEFINITIONS SHALL APPLY:

- A. "ACTIVE DUTY MILITARY" MEANS FULL-TIME DUTY STATUS IN THE ACTIVE UNIFORMED SERVICES OF THE UNITED STATES, INCLUDING MEMBERS OF THE NATIONAL GUARD AND RESERVE ON ACTIVE DUTY ORDERS PURSUANT TO 10 U.S.C. CHAPTERS 1209 AND 1211.
- B. "ADVERSE ACTION" MEANS ANY ADMINISTRATIVE, CIVIL, EQUITABLE, OR CRIMINAL ACTION PERMITTED BY A STATE'S LAWS WHICH IS IMPOSED BY A LICENSING BOARD OR OTHER AUTHORITY AGAINST AN AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST, INCLUDING ACTIONS AGAINST AN INDIVIDUAL'S LICENSE OR PRIVILEGE TO PRACTICE SUCH AS REVOCATION, SUSPENSION, PROBATION, MONITORING OF THE LICENSEE, OR RESTRICTION ON THE LICENSEE'S PRACTICE.
- C. "ALTERNATIVE PROGRAM" MEANS A NON-DISCIPLINARY MONITORING PROCESS APPROVED BY AN AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY LICENSING BOARD TO ADDRESS IMPAIRED PRACTITIONERS.
- D. "AUDIOLOGIST" MEANS AN INDIVIDUAL WHO IS LICENSED BY A STATE TO PRACTICE AUDIOLOGY.
- E. "AUDIOLOGY" MEANS THE CARE AND SERVICES PROVIDED BY A LICENSED AUDIOLOGIST AS SET FORTH IN THE MEMBER STATE'S STATUTES AND RULES.
- F. "AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY COMPACT COMMISSION" OR "COMMISSION" MEANS THE NATIONAL ADMINISTRATIVE

PAGE 3-SENATE BILL 21-021

BODY WHOSE MEMBERSHIP CONSISTS OF ALL STATES THAT HAVE ENACTED THE COMPACT.

- G. "AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY LICENSING BOARD," "AUDIOLOGY LICENSING BOARD," "SPEECH-LANGUAGE PATHOLOGY LICENSING BOARD," OR "LICENSING BOARD" MEANS THE AGENCY OF A STATE THAT IS RESPONSIBLE FOR THE LICENSING AND REGULATION OF AUDIOLOGISTS OR SPEECH-LANGUAGE PATHOLOGISTS.
- H. "COMPACT PRIVILEGE" MEANS THE AUTHORIZATION GRANTED BY A REMOTE STATE TO ALLOW A LICENSEE FROM ANOTHER MEMBER STATE TO PRACTICE AS AN AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST IN THE REMOTE STATE UNDER ITS LAWS AND RULES. THE PRACTICE OF AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY OCCURS IN THE MEMBER STATE WHERE THE PATIENT/CLIENT/STUDENT IS LOCATED AT THE TIME OF THE PATIENT/CLIENT/STUDENT ENCOUNTER.
- I. "CURRENT SIGNIFICANT INVESTIGATIVE INFORMATION" MEANS INVESTIGATIVE INFORMATION THAT A LICENSING BOARD, AFTER AN INQUIRY OR INVESTIGATION THAT INCLUDES NOTIFICATION AND AN OPPORTUNITY FOR THE AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST TO RESPOND, IF REQUIRED BY STATE LAW, HAS REASON TO BELIEVE IS NOT GROUNDLESS AND, IF PROVED TRUE, WOULD INDICATE MORE THAN A MINOR INFRACTION.
- J. "Data system" means a repository of information about licensees, including, but not limited to, continuing education, examination, licensure, investigative, compact privilege, and adverse action.
- K. "ENCUMBERED LICENSE" MEANS A LICENSE IN WHICH AN ADVERSE ACTION RESTRICTS THE PRACTICE OF AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY BY THE LICENSEE AND SAID ADVERSE ACTION HAS BEEN REPORTED TO THE NATIONAL PRACTITIONERS DATA BANK (NPDB).
- L. "EXECUTIVE COMMITTEE" MEANS A GROUP OF DIRECTORS ELECTED OR APPOINTED TO ACT ON BEHALF OF, AND WITHIN THE POWERS GRANTED TO THEM BY, THE COMMISSION.
- M. "Home state" means the member state that is the Licensee's primary state of residence.

- N. "IMPAIRED PRACTITIONER" MEANS INDIVIDUALS WHOSE PROFESSIONAL PRACTICE IS ADVERSELY AFFECTED BY SUBSTANCE ABUSE, ADDICTION, OR OTHER HEALTH-RELATED CONDITIONS.
- O. "LICENSEE" MEANS AN INDIVIDUAL WHO CURRENTLY HOLDS AN AUTHORIZATION FROM THE STATE LICENSING BOARD TO PRACTICE AS AN AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST.
- P. "MEMBER STATE" MEANS A STATE THAT HAS ENACTED THE COMPACT.
- Q. "PRIVILEGE TO PRACTICE" MEANS A LEGAL AUTHORIZATION PERMITTING THE PRACTICE OF AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY IN A REMOTE STATE.
- R. "REMOTE STATE" MEANS A MEMBER STATE OTHER THAN THE HOME STATE WHERE A LICENSEE IS EXERCISING OR SEEKING TO EXERCISE THE COMPACT PRIVILEGE.
- S. "Rule" means a regulation, principle, or directive promulgated by the Commission that has the force of law.
- T. "SINGLE-STATE LICENSE" MEANS AN AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY LICENSE ISSUED BY A MEMBER STATE THAT AUTHORIZES PRACTICE ONLY WITHIN THE ISSUING STATE AND DOES NOT INCLUDE A PRIVILEGE TO PRACTICE IN ANY OTHER MEMBER STATE.
- U. "SPEECH-LANGUAGE PATHOLOGIST" MEANS AN INDIVIDUAL WHO IS LICENSED BY A STATE TO PRACTICE SPEECH-LANGUAGE PATHOLOGY.
- V. "SPEECH-LANGUAGE PATHOLOGY" MEANS THE CARE AND SERVICES PROVIDED BY A LICENSED SPEECH-LANGUAGE PATHOLOGIST AS SET FORTH IN THE MEMBER STATE'S STATUTES AND RULES.
- W. "STATE" MEANS ANY STATE, COMMONWEALTH, DISTRICT, OR TERRITORY OF THE UNITED STATES OF AMERICA THAT REGULATES THE PRACTICE OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY.
- X. "STATE PRACTICE LAWS" MEANS A MEMBER STATE'S LAWS, RULES, AND REGULATIONS THAT GOVERN THE PRACTICE OF AUDIOLOGY OR

SPEECH-LANGUAGE PATHOLOGY, DEFINE THE SCOPE OF AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY PRACTICE, AND CREATE THE METHODS AND GROUNDS FOR IMPOSING DISCIPLINE.

Y. "TELEHEALTH" MEANS THE APPLICATION OF TELECOMMUNICATION TECHNOLOGY TO DELIVER AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY SERVICES AT A DISTANCE FOR ASSESSMENT, INTERVENTION, AND/OR CONSULTATION.

## SECTION 3 STATE PARTICIPATION IN THE COMPACT

- A. A LICENSE ISSUED TO AN AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST BY A HOME STATE TO A RESIDENT IN THAT STATE SHALL BE RECOGNIZED BY EACH MEMBER STATE AS AUTHORIZING AN AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST TO PRACTICE AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY, UNDER A PRIVILEGE TO PRACTICE, IN EACH MEMBER STATE.
- B. A STATE MUST IMPLEMENT OR UTILIZE PROCEDURES FOR CONSIDERING THE CRIMINAL HISTORY RECORDS OF APPLICANTS FOR INITIAL PRIVILEGE TO PRACTICE. THESE PROCEDURES SHALL INCLUDE THE REQUIREMENT THAT AN APPLICANT FOR LICENSURE UNDER THE COMPACT MUST HAVE THE APPLICANT'S FINGERPRINTS TAKEN BY A LOCAL LAW ENFORCEMENT AGENCY OR ANY THIRD PARTY APPROVED BY THE COLORADO BUREAU OF INVESTIGATION FOR THE PURPOSE OF OBTAINING A FINGERPRINT-BASED CRIMINAL HISTORY RECORD CHECK. THE APPLICANT SHALL SUBMIT PAYMENT BY CERTIFIED CHECK OR MONEY ORDER FOR THE FINGERPRINTS AND FOR THE ACTUAL COSTS OF THE RECORD CHECK AT THE TIME THE FINGERPRINTS ARE SUBMITTED TO THE COLORADO BUREAU OF INVESTIGATION. UPON RECEIPT OF FINGERPRINTS AND RECEIPT OF THE PAYMENT FOR COSTS, THE COLORADO BUREAU OF INVESTIGATION SHALL CONDUCT A STATE AND NATIONAL FINGERPRINT-BASED CRIMINAL HISTORY RECORD CHECK UTILIZING RECORDS OF THE COLORADO BUREAU OF INVESTIGATION AND THE FEDERAL BUREAU OF INVESTIGATION AND SHALL FORWARD THE RESULTS OF THE CRIMINAL HISTORY RECORD CHECK TO THE BOARD. THE BOARD SHALL USE THE INFORMATION RESULTING FROM THE FINGERPRINT-BASED CRIMINAL HISTORY RECORD CHECK TO INVESTIGATE AND DETERMINE WHETHER AN APPLICANT IS QUALIFIED TO HOLD A LICENSE PURSUANT TO THE COMPACT. THE BOARD MAY VERIFY THE INFORMATION AN

APPLICANT IS REQUIRED TO SUBMIT. THE RESULTS OF THE CRIMINAL HISTORY RECORD CHECK ARE CONFIDENTIAL. THE BOARD SHALL NOT RELEASE THE RESULTS TO THE PUBLIC, THE COMMISSION, OR ANY OTHER REGULATOR, AS THAT TERM IS DEFINED IN SECTION 12-20-102 (14).

- 1. A MEMBER STATE MUST FULLY IMPLEMENT A CRIMINAL BACKGROUND CHECK REQUIREMENT, WITHIN A TIME FRAME ESTABLISHED BY RULE.
- 2. COMMUNICATION BETWEEN A MEMBER STATE, THE COMMISSION, AND AMONG MEMBER STATES REGARDING VERIFICATION OF ELIGIBILITY FOR LICENSURE THROUGH THE COMPACT SHALL NOT INCLUDE ANY INFORMATION RECEIVED FROM THE FEDERAL BUREAU OF INVESTIGATION RELATING TO A FEDERAL CRIMINAL RECORDS CHECK PERFORMED BY A MEMBER STATE UNDER PUBLIC LAW 92-544.
- C. Upon application for a privilege to practice, the licensing board in the issuing remote state shall ascertain, through the data system, whether the applicant has ever held, or is the holder of, a license issued by any other state, whether there are any encumbrances on any license or privilege to practice held by the applicant, whether any adverse action has been taken against any license or privilege to practice held by the applicant.
- D. EACH MEMBER STATE SHALL REQUIRE AN APPLICANT TO OBTAIN OR RETAIN A LICENSE IN THE HOME STATE AND MEET THE HOME STATE'S QUALIFICATIONS FOR LICENSURE OR RENEWAL OF LICENSURE, AS WELL AS, ALL OTHER APPLICABLE STATE LAWS.

#### E. FOR AN AUDIOLOGIST:

- 1. MUST MEET ONE OF THE FOLLOWING EDUCATIONAL REQUIREMENTS:
- a. On or before, December 31, 2007, has graduated with a master's degree or doctorate in audiology, or equivalent degree regardless of degree name, from a program that is accredited by an accrediting agency recognized by the Council for Higher Education Accreditation, or its successor, or by the United States Department of Education and operated by a college or university

ACCREDITED BY A REGIONAL OR NATIONAL ACCREDITING ORGANIZATION RECOGNIZED BY THE BOARD; OR

- b. On or after, January 1, 2008, has graduated with a doctoral degree in audiology, or equivalent degree, regardless of degree name, from a program that is accredited by an accrediting agency recognized by the Council for Higher Education Accreditation, or its successor, or by the United States Department of Education and operated by a college or university accredited by a regional or national accrediting organization recognized by the Board; or
- c. Has graduated from an audiology program that is housed in an institution of higher education outside of the United States (a) for which the program and institution have been approved by the authorized accrediting body in the applicable country and (b) the degree program has been verified by an independent credentials review agency to be comparable to a state licensing board-approved program.
- 2. HAS COMPLETED A SUPERVISED CLINICAL PRACTICUM EXPERIENCE FROM AN ACCREDITED EDUCATIONAL INSTITUTION OR ITS COOPERATING PROGRAMS AS REQUIRED BY THE COMMISSION;
- 3. HAS SUCCESSFULLY PASSED A NATIONAL EXAMINATION APPROVED BY THE COMMISSION;
  - 4. HOLDS AN ACTIVE, UNENCUMBERED LICENSE;
- 5. HAS NOT BEEN CONVICTED OR FOUND GUILTY, AND HAS NOT ENTERED INTO AN AGREED DISPOSITION, OF A FELONY RELATED TO THE PRACTICE OF AUDIOLOGY, UNDER APPLICABLE STATE OR FEDERAL CRIMINAL LAW;
- 6. HAS A VALID UNITED STATES SOCIAL SECURITY NUMBER OR A NATIONAL PRACTITIONER IDENTIFICATION NUMBER.
  - F. FOR A SPEECH-LANGUAGE PATHOLOGIST:
  - 1. MUST MEET ONE OF THE FOLLOWING EDUCATIONAL

PAGE 8-SENATE BILL 21-021

#### REQUIREMENTS:

- a. HAS GRADUATED WITH A MASTER'S DEGREE FROM A SPEECH-LANGUAGE PATHOLOGY PROGRAM THAT IS ACCREDITED BY AN ORGANIZATION RECOGNIZED BY THE UNITED STATES DEPARTMENT OF EDUCATION AND OPERATED BY A COLLEGE OR UNIVERSITY ACCREDITED BY A REGIONAL OR NATIONAL ACCREDITING ORGANIZATION RECOGNIZED BY THE BOARD; OR
- b. Has graduated from a speech-language pathology program that is housed in an institution of higher education outside of the United States (a) for which the program and institution have been approved by the authorized accrediting body in the applicable country and (b) the degree program has been verified by an independent credentials review agency to be comparable to a state licensing board-approved program.
- 2. HAS COMPLETED A SUPERVISED CLINICAL PRACTICUM EXPERIENCE FROM AN EDUCATIONAL INSTITUTION OR ITS COOPERATING PROGRAMS AS REQUIRED BY THE COMMISSION;
- 3. HAS COMPLETED A SUPERVISED POSTGRADUATE PROFESSIONAL EXPERIENCE AS REQUIRED BY THE COMMISSION.
- 4. HAS SUCCESSFULLY PASSED A NATIONAL EXAMINATION APPROVED BY THE COMMISSION;
  - 5. HOLDS AN ACTIVE, UNENCUMBERED LICENSE;
- 6. HAS NOT BEEN CONVICTED OR FOUND GUILTY, AND HAS NOT ENTERED INTO AN AGREED DISPOSITION, OF A FELONY RELATED TO THE PRACTICE OF SPEECH-LANGUAGE PATHOLOGY, UNDER APPLICABLE STATE OR FEDERAL CRIMINAL LAW;
- 7. HAS A VALID UNITED STATES SOCIAL SECURITY OR A NATIONAL PRACTITIONER IDENTIFICATION NUMBER.
- G. THE PRIVILEGE TO PRACTICE IS DERIVED FROM THE HOME STATE LICENSE.

- H. AN AUDIOLOGIST OR A SPEECH-LANGUAGE PATHOLOGIST PRACTICING IN A MEMBER STATE MUST COMPLY WITH THE STATE PRACTICE LAWS OF THE STATE IN WHICH THE CLIENT IS LOCATED AT THE TIME SERVICE IS PROVIDED. THE PRACTICE OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY SHALL INCLUDE ALL AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY PRACTICE AS DEFINED BY THE STATE PRACTICE LAWS OF THE MEMBER STATE IN WHICH THE CLIENT IS LOCATED. THE PRACTICE OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY IN A MEMBER STATE UNDER A PRIVILEGE TO PRACTICE SHALL SUBJECT AN AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST TO THE JURISDICTION OF THE LICENSING BOARD, THE COURTS, AND THE LAWS OF THE MEMBER STATE IN WHICH THE CLIENT IS LOCATED AT THE TIME SERVICE IS PROVIDED.
- I. Individuals not residing in a member state shall continue to be able to apply for a member state's single-state license as provided under the laws of each member state. However, the single-state license granted to these individuals shall not be recognized as granting the privilege to practice audiology or speech-language pathology in any other member state. Nothing in this Compact shall affect the requirements established by a member state for the issuance of a single-state license.
- J. MEMBER STATES MAY CHARGE A FEE FOR GRANTING A COMPACT PRIVILEGE.
- K. Member states must comply with the bylaws and rules and regulations of the Commission.

## SECTION 4 COMPACT PRIVILEGE

- A. TO EXERCISE THE COMPACT PRIVILEGE UNDER THE TERMS AND PROVISIONS OF THE COMPACT, THE AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST SHALL:
  - 1. HOLD AN ACTIVE LICENSE IN THE HOME STATE;
  - 2. HAVE NO ENCUMBRANCE ON ANY STATE LICENSE;
  - 3. BE ELIGIBLE FOR A COMPACT PRIVILEGE IN ANY MEMBER STATE IN

PAGE 10-SENATE BILL 21-021

#### ACCORDANCE WITH SECTION 3;

- 4. HAVE NOT HAD ANY ADVERSE ACTION AGAINST ANY LICENSE OR COMPACT PRIVILEGE WITHIN THE PREVIOUS TWO (2) YEARS FROM DATE OF APPLICATION;
- 5. NOTIFY THE COMMISSION THAT THE LICENSEE IS SEEKING THE COMPACT PRIVILEGE WITHIN A REMOTE STATE(S);
- 6. PAY ANY APPLICABLE FEES, INCLUDING ANY STATE FEE, FOR THE COMPACT PRIVILEGE;
- 7. Report to the Commission adverse action taken by any non-member state within thirty (30) days from the date the adverse action is taken.
- B. FOR THE PURPOSES OF THE COMPACT PRIVILEGE, AN AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST SHALL ONLY HOLD ONE HOME STATE LICENSE AT A TIME.
- C. EXCEPT AS PROVIDED IN SECTION 6, IF AN AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST CHANGES PRIMARY STATE OF RESIDENCE BY MOVING BETWEEN TWO-MEMBER STATES, THE AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST MUST APPLY FOR LICENSURE IN THE NEW HOME STATE, AND THE LICENSE ISSUED BY THE PRIOR HOME STATE SHALL BE DEACTIVATED IN ACCORDANCE WITH APPLICABLE RULES ADOPTED BY THE COMMISSION.
- D. THE AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST MAY APPLY FOR LICENSURE IN ADVANCE OF A CHANGE IN PRIMARY STATE OF RESIDENCE.
- E. A LICENSE SHALL NOT BE ISSUED BY THE NEW HOME STATE UNTIL THE AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST PROVIDES SATISFACTORY EVIDENCE OF A CHANGE IN PRIMARY STATE OF RESIDENCE TO THE NEW HOME STATE AND SATISFIES ALL APPLICABLE REQUIREMENTS TO OBTAIN A LICENSE FROM THE NEW HOME STATE.
- F. If an audiologist or speech-language pathologist changes primary state of residence by moving from a member state

TO A NON-MEMBER STATE, THE LICENSE ISSUED BY THE PRIOR HOME STATE SHALL CONVERT TO A SINGLE-STATE LICENSE, VALID ONLY IN THE FORMER HOME STATE.

- G. THE COMPACT PRIVILEGE IS VALID UNTIL THE EXPIRATION DATE OF THE HOME STATE LICENSE. THE LICENSEE MUST COMPLY WITH THE REQUIREMENTS OF SECTION 4A TO MAINTAIN THE COMPACT PRIVILEGE IN THE REMOTE STATE.
- H. A LICENSEE PROVIDING AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY SERVICES IN A REMOTE STATE UNDER THE COMPACT PRIVILEGE SHALL FUNCTION WITHIN THE LAWS AND REGULATIONS OF THE REMOTE STATE.
- I. A LICENSEE PROVIDING AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY SERVICES IN A REMOTE STATE IS SUBJECT TO THAT STATE'S REGULATORY AUTHORITY. A REMOTE STATE MAY, IN ACCORDANCE WITH DUE PROCESS AND THAT STATE'S LAWS, REMOVE A LICENSEE'S COMPACT PRIVILEGE IN THE REMOTE STATE FOR A SPECIFIC PERIOD OF TIME, IMPOSE FINES, AND/OR TAKE ANY OTHER NECESSARY ACTIONS TO PROTECT THE HEALTH AND SAFETY OF ITS CITIZENS.
- J. If a home state license is encumbered, the licensee shall lose the compact privilege in any remote state until the following occurs:
  - 1. THE HOME STATE LICENSE IS NO LONGER ENCUMBERED; AND
- 2. Two years have elapsed from the date of the adverse action.
- K. ONCE AN ENCUMBERED LICENSE IN THE HOME STATE IS RESTORED TO GOOD STANDING, THE LICENSEE MUST MEET THE REQUIREMENTS OF SECTION 4A TO OBTAIN A COMPACT PRIVILEGE IN ANY REMOTE STATE.
- L. Once the requirements of Section 4J have been met, the Licensee must meet the requirements in Section 4A to obtain a compact privilege in a remote state.

#### **SECTION 5**

#### COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

MEMBER STATES SHALL RECOGNIZE THE RIGHT OF AN AUDIOLOGIST OR SPEECH-LANGUAGE PATHOLOGIST, LICENSED BY A HOME STATE IN ACCORDANCE WITH SECTION 3 AND UNDER RULES PROMULGATED BY THE COMMISSION, TO PRACTICE AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY IN ANY MEMBER STATE VIA TELEHEALTH UNDER A PRIVILEGE TO PRACTICE AS PROVIDED IN THE COMPACT AND RULES PROMULGATED BY THE COMMISSION.

## SECTION 6 ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES

ACTIVE DUTY MILITARY PERSONNEL, OR THEIR SPOUSE, SHALL DESIGNATE A HOME STATE WHERE THE INDIVIDUAL HAS A CURRENT LICENSE IN GOOD STANDING. THE INDIVIDUAL MAY RETAIN THE HOME STATE DESIGNATION DURING THE PERIOD THE SERVICE MEMBER IS ON ACTIVE DUTY. SUBSEQUENT TO DESIGNATING A HOME STATE, THE INDIVIDUAL SHALL ONLY CHANGE THEIR HOME STATE THROUGH APPLICATION FOR LICENSURE IN THE NEW STATE.

#### SECTION 7 ADVERSE ACTIONS

A. In addition to the other powers conferred by state Law, a remote state shall have the authority, in accordance with existing state due process law, to:

- 1. Take adverse action against an audiologist's or speech-language pathologist's privilege to practice within that member state.
- 2. Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing board in a member state for the attendance and testimony of witnesses or the production of evidence from another member state shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that

PAGE 13-SENATE BILL 21-021

COURT APPLICABLE TO SUBPOENAS ISSUED IN PROCEEDINGS PENDING BEFORE IT. THE ISSUING AUTHORITY SHALL PAY ANY WITNESS FEES, TRAVEL EXPENSES, MILEAGE, AND OTHER FEES REQUIRED BY THE SERVICE STATUTES OF THE STATE IN WHICH THE WITNESSES OR EVIDENCE ARE LOCATED.

- 3. Only the home state shall have the power to take adverse action against an audiologist's or a speech-language pathologist's license issued by the home state.
- B. For purposes of taking adverse action, the home state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had occurred within the home state. In doing so, the home state shall apply its own state laws to determine appropriate action.
- C. THE HOME STATE SHALL COMPLETE ANY PENDING INVESTIGATIONS OF AN AUDIOLOGIST OR A SPEECH-LANGUAGE PATHOLOGIST WHO CHANGES PRIMARY STATE OF RESIDENCE DURING THE COURSE OF THE INVESTIGATIONS. THE HOME STATE SHALL ALSO HAVE THE AUTHORITY TO TAKE APPROPRIATE ACTION(S) AND SHALL PROMPTLY REPORT THE CONCLUSIONS OF THE INVESTIGATIONS TO THE ADMINISTRATOR OF THE DATA SYSTEM. THE ADMINISTRATOR OF THE COORDINATED LICENSURE INFORMATION SYSTEM SHALL PROMPTLY NOTIFY THE NEW HOME STATE OF ANY ADVERSE ACTIONS.
- D. If otherwise permitted by state law, the member state may recover from the affected audiologist or speech-language pathologist the costs of investigations and disposition of cases resulting from any adverse action taken against that audiologist or speech-language pathologist.
- E. THE MEMBER STATE MAY TAKE ADVERSE ACTION BASED ON THE FACTUAL FINDINGS OF THE REMOTE STATE, PROVIDED THAT THE MEMBER STATE FOLLOWS THE MEMBER STATE'S OWN PROCEDURES FOR TAKING THE ADVERSE ACTION.

#### F. JOINT INVESTIGATIONS

1. In addition to the authority granted to a member state by its respective audiology or speech-language pathology

PAGE 14-SENATE BILL 21-021

PRACTICE ACT OR OTHER APPLICABLE STATE LAW, ANY MEMBER STATE MAY PARTICIPATE WITH OTHER MEMBER STATES IN JOINT INVESTIGATIONS OF LICENSEES.

- 2. Member states shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the Compact.
- G. If adverse action is taken by the home state against an audiologist's or speech-language pathologist's license, the audiologist's or speech-language pathologist's privilege to practice in all other member states shall be deactivated until all encumbrances have been removed from the state license. All home state disciplinary orders that impose adverse action against an audiologist's or a speech language pathologist's license shall include a statement that the audiologist's or speech-language pathologist's privilege to practice is deactivated in all member states during the pendency of the order.
- H. IF A MEMBER STATE TAKES ADVERSE ACTION, IT SHALL PROMPTLY NOTIFY THE ADMINISTRATOR OF THE DATA SYSTEM. THE ADMINISTRATOR OF THE DATA SYSTEM SHALL PROMPTLY NOTIFY THE HOME STATE OF ANY ADVERSE ACTIONS BY REMOTE STATES.
- I. NOTHING IN THIS COMPACT SHALL OVERRIDE A MEMBER STATE'S DECISION THAT PARTICIPATION IN AN ALTERNATIVE PROGRAM MAY BE USED IN LIEU OF ADVERSE ACTION.

# SECTION 8 ESTABLISHMENT OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY COMPACT COMMISSION

A. THE COMPACT MEMBER STATES HEREBY CREATE AND ESTABLISH A JOINT PUBLIC AGENCY KNOWN AS THE AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY COMPACT COMMISSION:

1. The Commission is an instrumentality of the Compact states.

PAGE 15-SENATE BILL 21-021

- 2. VENUE IS PROPER AND JUDICIAL PROCEEDINGS BY OR AGAINST THE COMMISSION SHALL BE BROUGHT SOLELY AND EXCLUSIVELY IN A COURT OF COMPETENT JURISDICTION WHERE THE PRINCIPAL OFFICE OF THE COMMISSION IS LOCATED. THE COMMISSION MAY WAIVE VENUE AND JURISDICTIONAL DEFENSES TO THE EXTENT IT ADOPTS OR CONSENTS TO PARTICIPATE IN ALTERNATIVE DISPUTE RESOLUTION PROCEEDINGS.
- 3. NOTHING IN THIS COMPACT SHALL BE CONSTRUED TO BE A WAIVER OF SOVEREIGN IMMUNITY.

#### B. MEMBERSHIP, VOTING, AND MEETINGS

- 1. EACH MEMBER STATE SHALL HAVE TWO (2) DELEGATES SELECTED BY THAT MEMBER STATE'S LICENSING BOARD. THE DELEGATES SHALL BE CURRENT MEMBERS OF THE LICENSING BOARD. ONE SHALL BE AN AUDIOLOGIST AND ONE SHALL BE A SPEECH-LANGUAGE PATHOLOGIST.
- 2. AN ADDITIONAL FIVE (5) DELEGATES, WHO ARE EITHER A PUBLIC MEMBER OR BOARD ADMINISTRATOR FROM A STATE LICENSING BOARD, SHALL BE CHOSEN BY THE EXECUTIVE COMMITTEE FROM A POOL OF NOMINEES PROVIDED BY THE COMMISSION AT LARGE.
- 3. Any delegate may be removed or suspended from office as provided by the law of the state from which the delegate is appointed.
- 4. The member state board shall fill any vacancy occurring on the Commission, within ninety (90) days.
- 5. EACH DELEGATE SHALL BE ENTITLED TO ONE (1) VOTE WITH REGARD TO THE PROMULGATION OF RULES AND CREATION OF BYLAWS AND SHALL OTHERWISE HAVE AN OPPORTUNITY TO PARTICIPATE IN THE BUSINESS AND AFFAIRS OF THE COMMISSION.
- 6. A DELEGATE SHALL VOTE IN PERSON OR BY OTHER MEANS AS PROVIDED IN THE BYLAWS. THE BYLAWS MAY PROVIDE FOR DELEGATES' PARTICIPATION IN MEETINGS BY TELEPHONE OR OTHER MEANS OF COMMUNICATION.
  - 7. THE COMMISSION SHALL MEET AT LEAST ONCE DURING EACH

PAGE 16-SENATE BILL 21-021

CALENDAR YEAR. ADDITIONAL MEETINGS SHALL BE HELD AS SET FORTH IN THE BYLAWS.

- C. THE COMMISSION SHALL HAVE THE FOLLOWING POWERS AND DUTIES:
  - 1. ESTABLISH THE FISCAL YEAR OF THE COMMISSION;
  - 2. ESTABLISH BYLAWS;
  - 3. ESTABLISH A CODE OF ETHICS;
- 4. MAINTAIN ITS FINANCIAL RECORDS IN ACCORDANCE WITH THE BYLAWS;
- 5. MEET AND TAKE ACTIONS AS ARE CONSISTENT WITH THE PROVISIONS OF THIS COMPACT AND THE BYLAWS;
- 6. PROMULGATE UNIFORM RULES TO FACILITATE AND COORDINATE IMPLEMENTATION AND ADMINISTRATION OF THIS COMPACT. THE RULES SHALL HAVE THE FORCE AND EFFECT OF LAW AND SHALL BE BINDING IN ALL MEMBER STATES;
- 7. Bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any state audiology or speech-language pathology licensing board to sue or be sued under applicable law shall not be affected;
  - 8. PURCHASE AND MAINTAIN INSURANCE AND BONDS:
- 9. BORROW, ACCEPT, OR CONTRACT FOR SERVICES OF PERSONNEL, INCLUDING, BUT NOT LIMITED TO, EMPLOYEES OF A MEMBER STATE;
- 10. HIRE EMPLOYEES, ELECT OR APPOINT OFFICERS, FIX COMPENSATION, DEFINE DUTIES, GRANT INDIVIDUALS APPROPRIATE AUTHORITY TO CARRY OUT THE PURPOSES OF THE COMPACT, AND TO ESTABLISH THE COMMISSION'S PERSONNEL POLICIES AND PROGRAMS RELATING TO CONFLICTS OF INTEREST, QUALIFICATIONS OF PERSONNEL, AND OTHER RELATED PERSONNEL MATTERS:

- 11. ACCEPT ANY AND ALL APPROPRIATE DONATIONS AND GRANTS OF MONEY, EQUIPMENT, SUPPLIES, MATERIALS, AND SERVICES, AND TO RECEIVE, UTILIZE, AND DISPOSE OF THE SAME; PROVIDED THAT AT ALL TIMES THE COMMISSION SHALL AVOID ANY APPEARANCE OF IMPROPRIETY AND/OR CONFLICT OF INTEREST;
- 12. Lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, improve, or use any real, personal, or mixed property; provided that at all times the Commission shall avoid any appearance of impropriety;
- 13. SELL, CONVEY, MORTGAGE, PLEDGE, LEASE, EXCHANGE, ABANDON, OR OTHERWISE DISPOSE OF ANY PROPERTY REAL, PERSONAL, OR MIXED;
  - 14. ESTABLISH A BUDGET AND MAKE EXPENDITURES;
  - 15. Borrow money;
- 16. APPOINT COMMITTEES, INCLUDING STANDING COMMITTEES COMPOSED OF MEMBERS, AND OTHER INTERESTED PERSONS AS MAY BE DESIGNATED IN THIS COMPACT AND THE BYLAWS;
- 17. PROVIDE AND RECEIVE INFORMATION FROM, AND COOPERATE WITH, LAW ENFORCEMENT AGENCIES;
  - 18. ESTABLISH AND ELECT AN EXECUTIVE COMMITTEE; AND
- 19. PERFORM OTHER FUNCTIONS AS MAY BE NECESSARY OR APPROPRIATE TO ACHIEVE THE PURPOSES OF THIS COMPACT CONSISTENT WITH THE STATE REGULATION OF AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY LICENSURE AND PRACTICE.

#### D. THE EXECUTIVE COMMITTEE

THE EXECUTIVE COMMITTEE SHALL HAVE THE POWER TO ACT ON BEHALF OF THE COMMISSION ACCORDING TO THE TERMS OF THIS COMPACT:

1. THE EXECUTIVE COMMITTEE SHALL BE COMPOSED OF TEN (10) MEMBERS:

PAGE 18-SENATE BILL 21-021

- a. SEVEN (7) VOTING MEMBERS WHO ARE ELECTED BY THE COMMISSION FROM THE CURRENT MEMBERSHIP OF THE COMMISSION;
- b. Two (2) ex-officios, consisting of one nonvoting member from a recognized national audiology professional association and one nonvoting member from a recognized national speech-language pathology association; and
- c. One (1) EX-OFFICIO, NONVOTING MEMBER FROM THE RECOGNIZED MEMBERSHIP ORGANIZATION OF THE AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY LICENSING BOARDS.
- E. THE EX-OFFICIO MEMBERS SHALL BE SELECTED BY THEIR RESPECTIVE ORGANIZATIONS.
- 1. THE COMMISSION MAY REMOVE ANY MEMBER OF THE EXECUTIVE COMMITTEE AS PROVIDED IN BYLAWS.
  - 2. THE EXECUTIVE COMMITTEE SHALL MEET AT LEAST ANNUALLY.
- 3. THE EXECUTIVE COMMITTEE SHALL HAVE THE FOLLOWING DUTIES AND RESPONSIBILITIES:
- a. RECOMMEND TO THE ENTIRE COMMISSION CHANGES TO THE RULES OR BYLAWS, CHANGES TO THIS COMPACT'S LEGISLATION, FEES PAID BY COMPACT MEMBER STATES SUCH AS ANNUAL DUES, AND ANY COMMISSION COMPACT FEE CHARGED TO LICENSEES FOR THE COMPACT PRIVILEGE;
- b. Ensure Compact administration services are appropriately provided, contractual or otherwise;
  - c. Prepare and recommend the budget;
  - d. Maintain financial records on Behalf of the Commission;
- e. Monitor Compact compliance of member states and provide compliance reports to the Commission;
  - f. Establish additional committees as necessary; and

- g. OTHER DUTIES AS PROVIDED IN RULES OR BYLAWS.
- 4. MEETINGS OF THE COMMISSION

ALL MEETINGS SHALL BE OPEN TO THE PUBLIC, AND PUBLIC NOTICE OF MEETINGS SHALL BE GIVEN IN THE SAME MANNER AS REQUIRED UNDER THE RULEMAKING PROVISIONS IN SECTION 10.

- 5. THE COMMISSION OR THE EXECUTIVE COMMITTEE OR OTHER COMMITTEES OF THE COMMISSION MAY CONVENE IN A CLOSED, NON-PUBLIC MEETING IF THE COMMISSION OR THE EXECUTIVE COMMITTEE OR OTHER COMMITTEES OF THE COMMISSION MUST DISCUSS:
- a. Noncompliance of a member state with its obligations under the Compact;
- b. The employment, compensation, discipline, or other matters, practices, or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures;
- c. Current, threatened, or reasonably anticipated litigation;
- d. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate;
- e. ACCUSING ANY PERSON OF A CRIME OR FORMALLY CENSURING ANY PERSON;
- f. DISCLOSURE OF TRADE SECRETS OR COMMERCIAL OR FINANCIAL INFORMATION THAT IS PRIVILEGED OR CONFIDENTIAL;
- g. DISCLOSURE OF INFORMATION OF A PERSONAL NATURE WHERE DISCLOSURE WOULD CONSTITUTE A CLEARLY UNWARRANTED INVASION OF PERSONAL PRIVACY;
- h. Disclosure of investigative records compiled for law enforcement purposes;

- i. DISCLOSURE OF INFORMATION RELATED TO ANY INVESTIGATIVE REPORTS PREPARED BY OR ON BEHALF OF OR FOR USE BY THE COMMISSION OR OTHER COMMITTEE CHARGED WITH RESPONSIBILITY OF INVESTIGATION OR DETERMINATION OF COMPLIANCE ISSUES PURSUANT TO THE COMPACT; OR
- j. Matters specifically exempted from disclosure by federal or member state statute.
- 6. If a meeting, or portion of a meeting, is closed pursuant to this provision, the Commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision.
- 7. THE COMMISSION SHALL KEEP MINUTES THAT FULLY AND CLEARLY DESCRIBE ALL MATTERS DISCUSSED IN A MEETING AND SHALL PROVIDE A FULL AND ACCURATE SUMMARY OF ACTIONS TAKEN, AND THE REASONS THEREFORE, INCLUDING A DESCRIPTION OF THE VIEWS EXPRESSED. ALL DOCUMENTS CONSIDERED IN CONNECTION WITH AN ACTION SHALL BE IDENTIFIED IN MINUTES. ALL MINUTES AND DOCUMENTS OF A CLOSED MEETING SHALL REMAIN UNDER SEAL, SUBJECT TO RELEASE BY A MAJORITY VOTE OF THE COMMISSION OR ORDER OF A COURT OF COMPETENT JURISDICTION.

#### 8. FINANCING OF THE COMMISSION

- a. THE COMMISSION SHALL PAY, OR PROVIDE FOR THE PAYMENT OF, THE REASONABLE EXPENSES OF ITS ESTABLISHMENT, ORGANIZATION, AND ONGOING ACTIVITIES.
- b. THE COMMISSION MAY ACCEPT ANY AND ALL APPROPRIATE REVENUE SOURCES, DONATIONS, AND GRANTS OF MONEY, EQUIPMENT, SUPPLIES, MATERIALS, AND SERVICES.
- c. The Commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the costs of the operations and activities of the Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a

FORMULA TO BE DETERMINED BY THE COMMISSION, WHICH SHALL PROMULGATE A RULE BINDING UPON ALL MEMBER STATES.

- 9. THE COMMISSION SHALL NOT INCUR OBLIGATIONS OF ANY KIND PRIOR TO SECURING THE FUNDS ADEQUATE TO MEET THE SAME; NOR SHALL THE COMMISSION PLEDGE THE CREDIT OF ANY OF THE MEMBER STATES, EXCEPT BY AND WITH THE AUTHORITY OF THE MEMBER STATE.
- 10. THE COMMISSION SHALL KEEP ACCURATE ACCOUNTS OF ALL RECEIPTS AND DISBURSEMENTS. THE RECEIPTS AND DISBURSEMENTS OF THE COMMISSION SHALL BE SUBJECT TO THE AUDIT AND ACCOUNTING PROCEDURES ESTABLISHED UNDER ITS BYLAWS. HOWEVER, ALL RECEIPTS AND DISBURSEMENTS OF FUNDS HANDLED BY THE COMMISSION SHALL BE AUDITED YEARLY BY A CERTIFIED OR LICENSED PUBLIC ACCOUNTANT, AND THE REPORT OF THE AUDIT SHALL BE INCLUDED IN AND BECOME PART OF THE ANNUAL REPORT OF THE COMMISSION.

#### F. QUALIFIED IMMUNITY, DEFENSE, AND INDEMNIFICATION

- 1. The members, officers, executive director, employees, and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing in this paragraph shall be construed to protect any person from suit and/or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person.
- 2. THE COMMISSION SHALL DEFEND ANY MEMBER, OFFICER, EXECUTIVE DIRECTOR, EMPLOYEE, OR REPRESENTATIVE OF THE COMMISSION IN ANY CIVIL ACTION SEEKING TO IMPOSE LIABILITY ARISING OUT OF ANY ACTUAL OR ALLEGED ACT, ERROR, OR OMISSION THAT OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT, DUTIES, OR RESPONSIBILITIES, OR THAT THE PERSON AGAINST WHOM THE CLAIM IS MADE HAD A REASONABLE BASIS FOR BELIEVING OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT, DUTIES, OR RESPONSIBILITIES; PROVIDED THAT NOTHING

HEREIN SHALL BE CONSTRUED TO PROHIBIT THAT PERSON FROM RETAINING HIS OR HER OWN COUNSEL; AND PROVIDED FURTHER, THAT THE ACTUAL OR ALLEGED ACT, ERROR, OR OMISSION DID NOT RESULT FROM THAT PERSON'S INTENTIONAL OR WILLFUL OR WANTON MISCONDUCT.

3. THE COMMISSION SHALL INDEMNIFY AND HOLD HARMLESS ANY MEMBER, OFFICER, EXECUTIVE DIRECTOR, EMPLOYEE, OR REPRESENTATIVE OF THE COMMISSION FOR THE AMOUNT OF ANY SETTLEMENT OR JUDGEMENT OBTAINED AGAINST THAT PERSON ARISING OUT OF ANY ACTUAL OR ALLEGED ACT, ERROR, OR OMISSION THAT OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT, DUTIES, OR RESPONSIBILITIES, OR THAT THE PERSON HAD A REASONABLE BASIS FOR BELIEVING OCCURRED WITHIN THE SCOPE OF COMMISSION EMPLOYMENT, DUTIES, OR RESPONSIBILITIES, PROVIDED THAT THE ACTUAL OR ALLEGED ACT, ERROR, OR OMISSION DID NOT RESULT FROM THE INTENTIONAL OR WILLFUL OR WANTON MISCONDUCT OF THAT PERSON.

#### SECTION 9 DATA SYSTEM

- A. THE COMMISSION SHALL PROVIDE FOR THE DEVELOPMENT, MAINTENANCE, AND UTILIZATION OF A COORDINATED DATABASE AND REPORTING SYSTEM CONTAINING LICENSURE, ADVERSE ACTION, AND INVESTIGATIVE INFORMATION ON ALL LICENSED INDIVIDUALS IN MEMBER STATES.
- B. NOTWITHSTANDING ANY OTHER PROVISION OF STATE LAW TO THE CONTRARY, A MEMBER STATE SHALL SUBMIT A UNIFORM DATA SET TO THE DATA SYSTEM ON ALL INDIVIDUALS TO WHOM THIS COMPACT IS APPLICABLE AS REQUIRED BY THE RULES OF THE COMMISSION, INCLUDING:
  - 1. IDENTIFYING INFORMATION;
  - 2. LICENSURE DATA;
  - 3. ADVERSE ACTIONS AGAINST A LICENSE OR COMPACT PRIVILEGE;
- 4. Non-confidential information related to alternative program participation;

- 5. ANY DENIAL OF APPLICATION FOR LICENSURE, AND THE REASON(S) FOR DENIAL; AND
- 6. OTHER INFORMATION THAT MAY FACILITATE THE ADMINISTRATION OF THIS COMPACT, AS DETERMINED BY THE RULES OF THE COMMISSION.
- C. Investigative information pertaining to a licensee in any member state shall only be available to other member states.
- D. THE COMMISSION SHALL PROMPTLY NOTIFY ALL MEMBER STATES OF ANY ADVERSE ACTION TAKEN AGAINST A LICENSEE OR AN INDIVIDUAL APPLYING FOR A LICENSE. ADVERSE ACTION INFORMATION PERTAINING TO A LICENSEE IN ANY MEMBER STATE SHALL BE AVAILABLE TO ANY OTHER MEMBER STATE.
- E. MEMBER STATES CONTRIBUTING INFORMATION TO THE DATA SYSTEM MAY DESIGNATE INFORMATION THAT MAY NOT BE SHARED WITH THE PUBLIC WITHOUT THE EXPRESS PERMISSION OF THE CONTRIBUTING STATE.
- F. ANY INFORMATION SUBMITTED TO THE DATA SYSTEM THAT IS SUBSEQUENTLY REQUIRED TO BE EXPUNGED BY THE LAWS OF THE MEMBER STATE CONTRIBUTING THE INFORMATION SHALL BE REMOVED FROM THE DATA SYSTEM.

#### SECTION 10 RULEMAKING

- A. THE COMMISSION SHALL EXERCISE ITS RULEMAKING POWERS PURSUANT TO THE CRITERIA SET FORTH IN THIS SECTION AND THE RULES ADOPTED THEREUNDER. RULES AND AMENDMENTS SHALL BECOME BINDING AS OF THE DATE SPECIFIED IN EACH RULE OR AMENDMENT.
- B. If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact within four (4) years of the date of adoption of the rule, the rule shall have no further force and effect in any member state.
- C. RULES OR AMENDMENTS TO THE RULES SHALL BE ADOPTED AT A REGULAR OR SPECIAL MEETING OF THE COMMISSION.

PAGE 24-SENATE BILL 21-021

- D. PRIOR TO PROMULGATION AND ADOPTION OF A FINAL RULE OR RULES BY THE COMMISSION, AND AT LEAST THIRTY (30) DAYS IN ADVANCE OF THE MEETING AT WHICH THE RULE SHALL BE CONSIDERED AND VOTED UPON, THE COMMISSION SHALL FILE A NOTICE OF PROPOSED RULEMAKING:
- 1. On the website of the Commission or other publicly accessible platform; and
- 2. ON THE WEBSITE OF EACH MEMBER STATE AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY LICENSING BOARD OR OTHER PUBLICLY ACCESSIBLE PLATFORM OR THE PUBLICATION IN WHICH EACH STATE WOULD OTHERWISE PUBLISH PROPOSED RULES.
  - E. THE NOTICE OF PROPOSED RULEMAKING SHALL INCLUDE:
- 1. The proposed time, date, and location of the meeting in which the rule shall be considered and yoted upon;
- 2. The text of the proposed rule or amendment and the reason for the proposed rule;
- 3. A REQUEST FOR COMMENTS ON THE PROPOSED RULE FROM ANY INTERESTED PERSON; AND
- 4. The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.
- F. PRIOR TO THE ADOPTION OF A PROPOSED RULE, THE COMMISSION SHALL ALLOW PERSONS TO SUBMIT WRITTEN DATA, FACTS, OPINIONS, AND ARGUMENTS, WHICH SHALL BE MADE AVAILABLE TO THE PUBLIC.
- G. THE COMMISSION SHALL GRANT AN OPPORTUNITY FOR A PUBLIC HEARING BEFORE IT ADOPTS A RULE OR AMENDMENT IF A HEARING IS REQUESTED BY:
  - 1. AT LEAST TWENTY-FIVE (25) PERSONS;
- 2. A STATE OR FEDERAL GOVERNMENTAL SUBDIVISION OR AGENCY; OR

- 3. AN ASSOCIATION HAVING AT LEAST TWENTY-FIVE (25) MEMBERS.
- H. If A HEARING IS HELD ON THE PROPOSED RULE OR AMENDMENT, THE COMMISSION SHALL PUBLISH THE PLACE, TIME, AND DATE OF THE SCHEDULED PUBLIC HEARING. IF THE HEARING IS HELD VIA ELECTRONIC MEANS, THE COMMISSION SHALL PUBLISH THE MECHANISM FOR ACCESS TO THE ELECTRONIC HEARING.
- 1. ALL PERSONS WISHING TO BE HEARD AT THE HEARING SHALL NOTIFY THE EXECUTIVE DIRECTOR OF THE COMMISSION OR OTHER DESIGNATED MEMBER IN WRITING OF THEIR DESIRE TO APPEAR AND TESTIFY AT THE HEARING NOT LESS THAN FIVE (5) BUSINESS DAYS BEFORE THE SCHEDULED DATE OF THE HEARING.
- 2. HEARINGS SHALL BE CONDUCTED IN A MANNER PROVIDING EACH PERSON WHO WISHES TO COMMENT A FAIR AND REASONABLE OPPORTUNITY TO COMMENT ORALLY OR IN WRITING.
- 3. ALL HEARINGS SHALL BE RECORDED. A COPY OF THE RECORDING SHALL BE MADE AVAILABLE ON REQUEST.
- 4. NOTHING IN THIS SECTION SHALL BE CONSTRUED AS REQUIRING A SEPARATE HEARING ON EACH RULE. RULES MAY BE GROUPED FOR THE CONVENIENCE OF THE COMMISSION AT HEARINGS REQUIRED BY THIS SECTION.
- I. FOLLOWING THE SCHEDULED HEARING DATE, OR BY CLOSE OF BUSINESS ON THE SCHEDULED HEARING DATE IF THE HEARING WAS NOT HELD, THE COMMISSION SHALL CONSIDER ALL WRITTEN AND ORAL COMMENTS RECEIVED.
- J. If no written notice of intent to attend the public hearing by interested parties is received, the Commission may proceed with promulgation of the proposed rule without a public hearing.
- K. THE COMMISSION SHALL, BY MAJORITY VOTE OF ALL MEMBERS, TAKE FINAL ACTION ON THE PROPOSED RULE AND SHALL DETERMINE THE EFFECTIVE DATE OF THE RULE, IF ANY, BASED ON THE RULEMAKING RECORD AND THE FULL TEXT OF THE RULE.

- L. Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than ninety (90) days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to:
- 1. MEET AN IMMINENT THREAT TO PUBLIC HEALTH, SAFETY, OR WELFARE;
  - 2. Prevent a loss of Commission or member state funds; or
- 3. MEET A DEADLINE FOR THE PROMULGATION OF AN ADMINISTRATIVE RULE THAT IS ESTABLISHED BY FEDERAL LAW OR RULE.
- M. The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of thirty (30) days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the chair of the Commission prior to the end of the notice period. If no challenge is made, the revision shall take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

## SECTION 11 OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

#### A. DISPUTE RESOLUTION

1. Upon request by a member state, the Commission shall attempt to resolve disputes related to the Compact that arise

PAGE 27-SENATE BILL 21-021

2. THE COMMISSION SHALL PROMULGATE A RULE PROVIDING FOR BOTH MEDIATION AND BINDING DISPUTE RESOLUTION FOR DISPUTES AS APPROPRIATE.

#### B. ENFORCEMENT

- 1. THE COMMISSION, IN THE REASONABLE EXERCISE OF ITS DISCRETION, SHALL ENFORCE THE PROVISIONS AND RULES OF THIS COMPACT.
- 2. By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices against a member state in default to enforce compliance with the provisions of the Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be a warded all costs of litigation, including reasonable attorney's fees.
- 3. THE REMEDIES HEREIN SHALL NOT BE THE EXCLUSIVE REMEDIES OF THE COMMISSION. THE COMMISSION MAY PURSUE ANY OTHER REMEDIES AVAILABLE UNDER FEDERAL OR STATE LAW.

#### **SECTION 12**

#### DATE OF IMPLEMENTATION OF THE INTERSTATE COMMISSION FOR AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY PRACTICE AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT

A. THE COMPACT SHALL COME INTO EFFECT ON THE DATE ON WHICH THE COMPACT STATUTE IS ENACTED INTO LAW IN THE TENTH (10TH) MEMBER STATE. THE PROVISIONS, WHICH BECOME EFFECTIVE AT THAT TIME, SHALL BE LIMITED TO THE POWERS GRANTED TO THE COMMISSION RELATING TO ASSEMBLY AND THE PROMULGATION OF RULES. THEREAFTER, THE COMMISSION SHALL MEET AND EXERCISE RULEMAKING POWERS NECESSARY TO THE IMPLEMENTATION AND ADMINISTRATION OF THE COMPACT.

B. ANY STATE THAT JOINS THE COMPACT SUBSEQUENT TO THE

PAGE 28-SENATE BILL 21-021

COMMISSION'S INITIAL ADOPTION OF THE RULES SHALL BE SUBJECT TO THE RULES AS THEY EXIST ON THE DATE ON WHICH THE COMPACT BECOMES LAW IN THAT STATE. ANY RULE THAT HAS BEEN PREVIOUSLY ADOPTED BY THE COMMISSION SHALL HAVE THE FULL FORCE AND EFFECT OF LAW ON THE DAY THE COMPACT BECOMES LAW IN THAT STATE.

- C. ANY MEMBER STATE MAY WITHDRAW FROM THIS COMPACT BY ENACTING A STATUTE REPEALING THE SAME.
- 1. A MEMBER STATE'S WITHDRAWAL SHALL NOT TAKE EFFECT UNTIL SIX (6) MONTHS AFTER ENACTMENT OF THE REPEALING STATUTE.
- 2. WITHDRAWAL SHALL NOT AFFECT THE CONTINUING REQUIREMENT OF THE WITHDRAWING STATE'S AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY LICENSING BOARD TO COMPLY WITH THE INVESTIGATIVE AND ADVERSE ACTION REPORTING REQUIREMENTS OF THIS ACT PRIOR TO THE EFFECTIVE DATE OF WITHDRAWAL.
- D. NOTHING CONTAINED IN THIS COMPACT SHALL BE CONSTRUED TO INVALIDATE OR PREVENT ANY AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY LICENSURE AGREEMENT OR OTHER COOPERATIVE ARRANGEMENT BETWEEN A MEMBER STATE AND A NON-MEMBER STATE THAT DOES NOT CONFLICT WITH THE PROVISIONS OF THIS COMPACT.
- E. THIS COMPACT MAY BE AMENDED BY THE MEMBER STATES. NO AMENDMENT TO THIS COMPACT SHALL BECOME EFFECTIVE AND BINDING UPON ANY MEMBER STATE UNTIL IT IS ENACTED INTO THE LAWS OF ALL MEMBER STATES.

#### SECTION 13 CONSTRUCTION AND SEVERABILITY

THIS COMPACT SHALL BE LIBERALLY CONSTRUED SO AS TO EFFECTUATE THE PURPOSES THEREOF. THE PROVISIONS OF THIS COMPACT SHALL BE SEVERABLE AND IF ANY PHRASE, CLAUSE, SENTENCE, OR PROVISION OF THIS COMPACT IS DECLARED TO BE CONTRARY TO THE CONSTITUTION OF ANY MEMBER STATE OR OF THE UNITED STATES OR THE APPLICABILITY THEREOF TO ANY GOVERNMENT, AGENCY, PERSON, OR CIRCUMSTANCE IS HELD INVALID, THE VALIDITY OF THE REMAINDER OF THIS COMPACT AND THE APPLICABILITY THEREOF TO ANY GOVERNMENT, AGENCY,

PERSON, OR CIRCUMSTANCE SHALL NOT BE AFFECTED THEREBY. IF THIS COMPACT SHALL BE HELD CONTRARY TO THE CONSTITUTION OF ANY MEMBER STATE, THE COMPACT SHALL REMAIN IN FULL FORCE AND EFFECT AS TO THE REMAINING MEMBER STATES AND IN FULL FORCE AND EFFECT AS TO THE MEMBER STATE AFFECTED AS TO ALL SEVERABLE MATTERS.

## SECTION 14 BINDING EFFECT OF COMPACT AND OTHER LAWS

- A. NOTHING HEREIN PREVENTS THE ENFORCEMENT OF ANY OTHER LAW OF A MEMBER STATE THAT IS NOT INCONSISTENT WITH THE COMPACT.
- B. ALL LAWS IN A MEMBER STATE IN CONFLICT WITH THE COMPACT ARE SUPERSEDED TO THE EXTENT OF THE CONFLICT.
- C. ALL LAWFUL ACTIONS OF THE COMMISSION, INCLUDING ALL RULES AND BYLAWS PROMULGATED BY THE COMMISSION, ARE BINDING UPON THE MEMBER STATES.
- D. ALL AGREEMENTS BETWEEN THE COMMISSION AND THE MEMBER STATES ARE BINDING IN ACCORDANCE WITH THEIR TERMS.
- E. IN THE EVENT ANY PROVISION OF THE COMPACT EXCEEDS THE CONSTITUTIONAL LIMITS IMPOSED ON THE LEGISLATURE OF ANY MEMBER STATE, THE PROVISION SHALL BE INEFFECTIVE TO THE EXTENT OF THE CONFLICT WITH THE CONSTITUTIONAL PROVISION IN QUESTION IN THAT MEMBER STATE.
- **24-60-4103.** Construction of terms. (1) AS USED IN THIS PART 41, UNLESS THE CONTEXT OTHERWISE REQUIRES:
  - (a) "BOARD" MEANS LICENSING BOARD.
  - (b) "LICENSE" MEANS:
- (I) WITH RESPECT TO AN AUDIOLOGIST, A LICENSE ISSUED PURSUANT TO SECTION 12-210-105; AND
- (II) WITH RESPECT TO A SPEECH-LANGUAGE PATHOLOGIST, A CERTIFICATION ISSUED PURSUANT TO SECTION 12-305-106.

PAGE 30-SENATE BILL 21-021

- (c) "Licensed", "Licensing", and "Licensure" have meanings that correspond to the definitions established in subsection (1)(b) of this section.
- (d) "LICENSING BOARD" MEANS, WITH RESPECT TO COLORADO, THE DIRECTOR OF THE DIVISION OF PROFESSIONS AND OCCUPATIONS CREATED IN SECTION 12-20-103.
- 24-60-4104. Notice to revisor of statutes. This part 41 will take EFFECT on the date the compact is enacted into law in the tenth compact state. The director of the division of professions and occupations in the department of regulatory agencies shall notify the revisor of statutes in writing when the condition specified in this section has occurred by e-mailing the notice to revisorofstatutes.ga@state.co.us. This part 41 takes effect upon the date identified in the notice that the compact is enacted into law in the tenth compact state.
- **SECTION 2.** In Colorado Revised Statutes, add 12-210-109.5 as follows:
- 12-210-109.5. Interstate compact powers and duties of the director rules definitions. (1) AS USED IN THIS SECTION:
- (a) "ADVERSE ACTION" HAS THE MEANING ESTABLISHED IN SECTION 24-60-4102.
- (b) "Commission" means the audiology and speech-language pathology compact commission established in section 24-60-4102.
- (c) "Compact" means the audiology and speech-language pathology interstate compact authorized in part 41 of article 60 of title 24.
- (d) "Data system" has the meaning established in Section 24-60-4102.
- (e) "Telehealth" has the meaning established in Section 24-60-4102 with regard to delivering audiology services.

- (2) WITH REGARD TO THE COMPACT, THE DIRECTOR HAS THE FOLLOWING POWERS AND DUTIES:
  - (a) TO FACILITATE COLORADO'S PARTICIPATION IN THE COMPACT;
- (b) TO PROMULGATE THE RULES NECESSARY FOR THE IMPLEMENTATION, ADMINISTRATION, AND ENFORCEMENT OF THE COMPACT. THE DIRECTOR SHALL PROMULGATE RULES IN ACCORDANCE WITH ARTICLE 4 OF TITLE 24.
- (c) To appoint a person to serve as a commissioner on the commission;
  - (d) TO REGULATE TELEHEALTH IN ACCORDANCE WITH THE COMPACT;
- (e) TO NOTIFY THE COMMISSION OF ANY ADVERSE ACTION REGARDING A LICENSED AUDIOLOGIST;
- (f) TO PROVIDE UNIFORM DATA TO THE DATA SYSTEM CONSISTENT WITH THE RULES OF THE COMMISSION; AND
- (g) To approve payment of assessments levied by the commission to cover the cost of operations and activities of the commission and its staff.
- **SECTION 3.** In Colorado Revised Statutes, **add** 12-305-115.5 as follows:
- 12-305-115.5. Interstate compact powers and duties of the director rules definitions. (1) AS USED IN THIS SECTION:
- (a) "ADVERSE ACTION" HAS THE MEANING ESTABLISHED IN SECTION 24-60-4102.
- (b) "Commission" means the audiology and speech-language Pathology compact commission established in section 24-60-4102.
- (c) "Compact" means the audiology and speech-language pathology interstate compact authorized in part 41 of article 60 of title 24.

PAGE 32-SENATE BILL 21-021

- (d) "Data system" has the meaning established in Section 24-60-4102
- (e) "Telehealth" has the meaning established in section 24-60-4102 with regard to delivering speech-language pathology services.
- (2) WITH REGARD TO THE COMPACT, THE DIRECTOR HAS THE FOLLOWING POWERS AND DUTIES:
  - (a) TO FACILITATE COLORADO'S PARTICIPATION IN THE COMPACT;
- (b) TO PROMULGATE THE RULES NECESSARY FOR THE IMPLEMENTATION, ADMINISTRATION, AND ENFORCEMENT OF THE COMPACT. THE DIRECTOR SHALL PROMULGATE RULES IN ACCORDANCE WITH ARTICLE 4 OF TITLE 24.
- (c) TO APPOINT A PERSON TO SERVE AS A COMMISSIONER ON THE COMMISSION;
  - (d) TO REGULATE TELEHEALTH IN ACCORDANCE WITH THE COMPACT;
- (e) TO NOTIFY THE COMMISSION OF ANY ADVERSE ACTION REGARDING A SPEECH-LANGUAGE PATHOLOGIST;
- (f) To provide uniform data to the data system consistent with the rules of the commission; and
- (g) TO APPROVE PAYMENT OF ASSESSMENTS LEVIED BY THE COMMISSION TO COVER THE COST OF OPERATIONS AND ACTIVITIES OF THE COMMISSION AND ITS STAFF.
- **SECTION 4. Appropriation.** (1) For the 2021-22 state fiscal year, \$151,440 is appropriated to the department of regulatory agencies. This appropriation is from the division of professions and occupations cash fund created in section 12-20-105 (3), C.R.S. To implement this act, the division may use this appropriation as follows:
- (a) \$15,425 for use by the division of professions and occupations for personal services, which amount is based on an assumption that the

department will require an additional 0.3 FTE;

- (b) \$19,000 for use by the division of professions and occupations for operating expenses;
  - (c) \$17,014 for the purchase of legal services; and
  - (d) \$100,000 for the purchase of information technology services.
- (2) For the 2021-22 state fiscal year, \$17,014 is appropriated to the department of law. This appropriation is from reappropriated funds received from the department of regulatory agencies under subsection (1)(c) of this section and is based on an assumption that the department of law will require an additional 0.1 FTE. To implement this act, the department of law may use this appropriation to provide legal services for the department of regulatory agencies.
- (3) For the 2021-22 state fiscal year, \$100,000 is appropriated to the office of the governor for use by the office of information technology. This appropriation is from reappropriated funds received from the department of regulatory agencies under subsection (1)(d) of this section. To implement this act, the office may use this appropriation to provide information technology services for the department of regulatory agencies.
- (4) For the 2021-22 state fiscal year, \$140,676 is appropriated to the department of public safety for use by the Colorado bureau of investigation. This appropriation is from the Colorado bureau of investigation identification unit fund created in section 24-33.5-426, C.R.S. To implement this act, the department may use this appropriation as follows:
- (a) \$43,848 for use by the biometric identification and records unit for personal services, which amount is based on an assumption that the unit will require an additional 0.8 FTE;
- (b) \$96,828 for use by the biometric identification and records unit for operating expenses.
- SECTION 5. Act subject to petition effective date. This act takes effect at 12:01 a.m. on the day following the expiration of the ninety-day period after final adjournment of the general assembly; except

that, if a referendum petition is filed pursuant to section 1 (3) of article V of the state constitution against this act or an item, section, or part of this act within such period, then the act, item, section, or part will not take effect unless approved by the people at the general election to be held in November 2022 and, in such case, will take effect on the date of the official declaration of the vote thereon by the governor.

Leroy M. Garcia PRESIDENT OF THE SENATE Alec Garnett
SPEAKER OF THE HOUSE
OF REPRESENTATIVES

Cinclicd Markwell
Cindi L. Markwell
SECRETARY OF
THE SENATE

CHIEF CLERK OF THE HOUSE OF REPRESENTATIVES

APPROVED May 28, 2021 (1) (Date and Time)

Jared S. Polis

GOVERNOR OF THE STATE OF COLORADO